

**TRANSMITTAL LETTER  
(General - Patent Pending)**

Docket No.  
DEX0477US.NP

In Re Application Of: Macina et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/553,436	March 21, 2006	Zhou, Shubo	32800	1631	6995

Title: Compositions, Splice Variants and Methods Relating to Cancer Specific Genes and Proteins

COMMISSIONER FOR PATENTS:

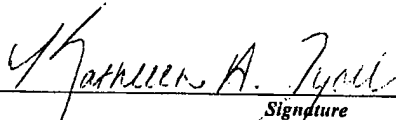
Transmitted herewith is:

Request for Refund with accompanying documents.

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Director is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

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Signature

Dated: July //, 2008

Kathleen A. Tyrrell, Reg. No. 38,350  
Licata & Tyrrell P.C.  
66 E. Main Street  
Marlton, New Jersey 08053  
Telephone: (856) 810-1515  
Facsimile: (856) 810-1454

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

(Date)

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

CC:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: DEX0477US.NP  
Inventors: Macina et al.  
Serial No.: 10/553,436  
Filing Date: March 21, 2006  
Examiner: Zhou, Shubo  
Customer No: 32800  
Group Art Unit: 1631  
Confirmation No: 6995  
Title: Compositions, Splice Variants and  
Methods Relating to Cancer Specific  
Genes and Proteins

Electronically Submitted via EFS-Web  
Date: July 11, 2008

I hereby certify that this paper is being electronically  
submitted on the date indicated above to the  
Commissioner for Patents, U.S. Patent &  
Trademark Office

By   
Typed Name: Kathleen A. Tyrrell, Reg. No. 38,350

Commissioner for Patents  
U.S. Patent and Trademark Office

Sir:

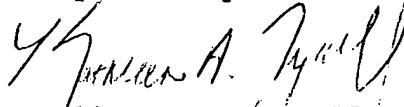
SUPPLEMENTAL REQUEST FOR REFUND

On May 11, 2006, Applicants filed a Request for Refund.  
Copies of the Request for Refund with accompanying documents as  
well as the Acknowledgement Receipt are provided.

To date, applicants have not received a response to the Request for Refund. Therefore, the Request for Refund is hereby resubmitted.

Please credit our Deposit Account No. 50-1619 in the amount of \$2,250.00 as requested and set forth in the Request for Refund.

Respectfully submitted,

A handwritten signature in dark ink, appearing to read "Kathleen A. Tyrrell", is written over the typed name.

Kathleen A. Tyrrell  
Registration No. 38,350

Date: July 11, 2008

Licata & Tyrrell P.C.  
66 E. Main Street  
Marlton, New Jersey 08053  
(856) 810-1515

**TRANSMITTAL LETTER  
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In Re Application Of: **Macina et al.**

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<b>10/553,436</b>	<b>March 21, 2006</b>	<b>Not yet assigned</b>	<b>32800</b>	<b>1631</b>	<b>6995</b>

Title: **Compositions, Splice Variants and Methods Relating to Cancer Specific Genes and Proteins**

**COMMISSIONER FOR PATENTS:**

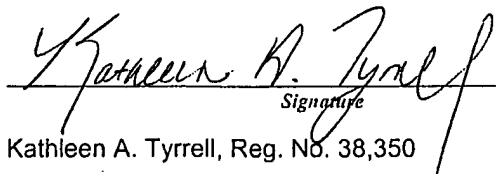
Transmitted herewith is:

**Request for Refund to our deposit account #50-1619 in the amount of \$2,250.00**

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **50-1619** as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

  
\_\_\_\_\_  
Signature  
Kathleen A. Tyrrell, Reg. No. 38,350

Dated: **May 11, 2006**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

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(Date)

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Signature of Person Mailing Correspondence

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Typed or Printed Name of Person Mailing Correspondence

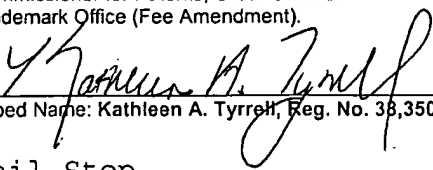
CC:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: DEX0477US.NP  
Inventors: Macina et al.  
Serial No.: 10/553,436  
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Title: Compositions, Splice Variants and  
Methods Relating to Cancer Specific  
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Electronically Submitted via EFS-Web  
Date of Deposit May 11, 2006

I hereby certify that this paper is being electronically  
submitted on the date indicated above to the  
Commissioner for Patents, U.S. Patent &  
Trademark Office (Fee Amendment).

By   
Typed Name: Kathleen A. Tyrrell, Reg. No. 38,350

Mail Stop  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

REQUEST FOR REFUND

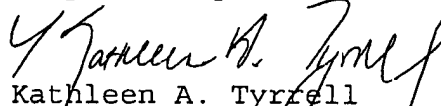
This application entered the U.S. National Phase in October  
11, 2005. We filed the application with two (2) CD ROMs containing  
sequence listing in lieu of paper copies. The appropriate

application filing fee paid was \$2,350.00. This represents the large entity rate and includes \$300.00 for the basic filing fee, \$200.00 for the examination fee, \$100.00 for the search fee and \$1,750.00 for 340 extra sheets.

Since the sequence listing was filed in an electronic medium, the additional charge to our deposit account of \$2,250.00 on April 3, 2006, for application size fee is erroneous.

Please credit our deposit account #50-1619 in the amount of \$2,250.00 which represents the overpayment of the application filing fee.

Respectfully submitted,

  
Kathleen A. Tyrrell  
Registration No. 38,350

Date: May 11, 2006

LICATA & TYRRELL P.C.  
66 E. Main Street  
Marlton, New Jersey 08053  
(856) 810-1515

**Acknowledgement Receipt**

The USPTO has received your submission at **15:03:11** Eastern Time on **11-MAY-2006**.

No fees have been paid for this submission. Please remember to pay any required fees on time to prevent abandonment of your application.

**eFiled Application Information**

EFS ID	1044931
Application Number	10553436
Confirmation Number	6995
Title	Composition splice variants and methods relating to cancer specific genes and proteins
First Named Inventor	Robert A. Macina
Customer Number or Correspondence Address	32800
Filed By	Kathleen A. Tyrrell/Mariana Lennox
Attorney Docket Number	DEX0477US.NP
Filing Date	21-MAR-2006
Receipt Date	11-MAY-2006
Application Type	U.S. National Stage under 35 USC 371

**Application Details**

Submitted Files	Page Count	Document Description	File Size	Warnings
DEX477USNPTRANS.pdf	1	Transmittal letter	44023 bytes	◇ PASS
DEX477USNP_REQREF.pdf	2	Request for Refund	346085 bytes	◇ PASS

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

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- Call the Patent Electronic Business Center at (866) 217-9197 (toll free) or e-mail [EBC@uspto.gov](mailto:EBC@uspto.gov) for specific questions about Patent e-Filing.
- Send general questions about USPTO programs to the USPTO Contact Center (UCC).

- *If you experience technical difficulties or problems with this application, please report them via e-mail to Electronic Business Support or call 1 800-786-9199.*





**United States Patent and Trademark Office**  
Date Printed: 08-17-2008 15:05:36 ET

Transaction List for user: Jane Massey Licata

Type	Transaction Status	Date Posted	Name/Number	Attorney Docket Number	Charges/Credits (-)	Account
Sale	Active	10/25/2005	10553436	DEX0477US.NP	\$2,350.00	CC# ...2328
Sale	Active	03/24/2006	10553436	DEX0477US.NP	\$130.00	CC# ...3094
Sale	Active	04/03/2006	10553436	DEX0477US.NP	\$2,250.00	DA# 501819
Sale	Active	08/07/2007	10553436	DEX0477US.NP	\$250.00	CC# ...3094

(\*) indicates that a single payment paid for multiple items or multiple payments paid for a single item.  
Note: Adjustments indicate that a sale was reversed, not that payments were refunded.

ATTN: D.L.